

Nano-focused Bremstrahlung Isochromat Spectroscopy (nBIS) Determination of the Unoccupied Electronic Structure of Pu

J. G. Tobin, M. Butterfield, N. Teslich, A. Bliss, B. Chung, J. Gross, A. McMahan, A. Schwartz

December 29, 2006

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nBIS LDRD Final Report, December 2006 LDRD Tracking Code: 04-ERD-105

Nano-focused Bremstrahlung Isochromat Spectroscopy(nBIS) Determination of the Unoccupied Electronic Structure of Pu

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Co-investigators: Martin Butterfield (Post-Doc), Nick Teslich, Ann Bliss,

Brandon Chung, James Gross (Summer Student), Andy

McMahan (PAT), Adam Schwartz (PAT)

Total Project Original Budget: \$500K/year Operations + \$500 Capital Equipment

Total Project Budget FY04 Budget Requested FY04 Budget Received (Starting 7/04)	CMS-op	DNT-op	Total-op	Cap.Eq
	\$ 0K	\$ 0K	\$ 0K	\$0K
	\$ 100K	\$ 0K	\$ 100K	\$300K
FY05 Budget Requested	\$ 200K	\$ 300K	\$ 500K	\$500K
FY05 Budget Received	\$ 110K	\$ 126K	\$ 236K	\$89K (CMS)
FY06 Budget Requested	\$ 200K	\$ 300K	\$ 500K	\$ 0K
FY06 Budget Received	\$ 116K	\$ 0K	\$ 116K	\$44K (CMS)
FY07 Budget Requested	\$ 200K	\$ 300K	\$ 500K	\$ 0K
FY07 Budget Received	\$ 0K	\$ 0K	\$ 0K	\$ 0K
Budget Total Requested Budget Total Received Percentage Req/Rec	\$ 600K	\$ 900K	\$ 1500K	\$500K
	\$ 326K	\$ 126K	\$ 452K	\$433K
	54%	14%	30%	87%

The proposal was originally put forward in the Spring of 2004, requesting funding in FY05 (Oct 04 – Sep 05), FY 06 (Oct 05 – Sep 06) and FY 07 (Oct 06 – Sep 07). Prefunding in late FY 04 was provided, beginning in July of 2004.

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Outline of Report

- I. Description of Original Proposal
- II. Description of Progress
- III. International Recognition: Publications and Talks
- IV. Future Plans
- V. Acknowledgements

I. Description of Original Proposal

Introduction:

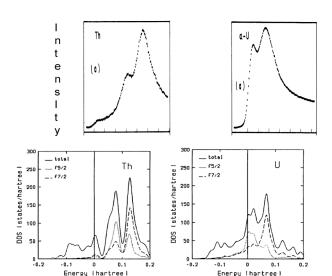
While chemically toxic and highly radioactive, Pu may be the most scientifically interesting element in the periodic table. It's properties include the following: six different phases, close to each other in energy and sensitive to variations of temperature, pressure and chemistry; the face-centered-cubic phase (delta) is the *least* dense. Pu expands when it solidifies from the melt; and it is clearly the nexus of the actinide binary phase diagrams of the actinides. In a sense, it is the boundary between the light (ostensibly delocalized 5f electrons) and heavy (ostensibly localized or correlated 5f electrons) actinide elements, but this is an over-simplification. The localized atomic 5f states are naturally correlated, but important regimes of correlated electron states are conceivable as extended states on the delocalized side of the possible Mott transition between conductive and insulating behavior. The proximity to this crossover may be the driving force behind all these exotic properties. Pu remains of immense scientific and technological importance and the advancement to a firm. scientific understanding of the electronic structure of Pu and its compounds, mixtures, alloys and solutions is a crucial issue. Moreover, while there are a number of ongoing experimental efforts directed at determining the occupied (valence band, below the Fermi Energy) electronic structure of Pu, there is essential no experimental data on the unoccupied (conduction band, above the Fermi Energy) electronic structure of Pu.

Objective:

Our objective is to determine the conduction band (unoccupied) electronic structure of Pu and other actinides (and possibly rare earths as well), in a phase specific fashion and emphasizing bulk contributions. This is world-class science directed at issue that is central to LLNL and DOE: Pu structure property relationships.

Conduction band (unoccupied) electronic structure is the missing link in studies of Pu. nBIS is the best way to determine the unoccupied electronic structure.

As can be seen in Figure 1 (below), Bremstrahlung Isochromat Spectroscopy (BIS) can provide a direct measure of the unoccupied electronic structure of actinides. Unfortunately, this data only exists for Th and U, not Pu! Moreover, our studies of Pu electronic structure are predicated upon measuring the conduction bands of **bulk** Pu in a **phase specific** fashion. Because of the lack of single crystals of Pu, we will perform our experiments in a nano-focusing fashion, allowing us to interrogate the micro-single-crystalline structures in Pu polycrystalline samples. (We will return to this issue shortly.)



- Unoccupied density of states of Th and U from Bremstrahlung Isochromat Spectroscopy (BIS) by Baer and Lang, PRB 21, 2060 (1980).
- Occupied (neg energies) and unoccupied (pos energies) Density of States of Th and U calculated by Penicaud, J. Phys. C. M. 9, 6341 (1990).

Figure 1: The BIS and calculated electronic structure of Th and U

To get bulk sensitivity, we will follow the lead of Mo et al, who recently demonstrated with photoelectron spectroscopy that higher photon energies are essential to the measurement of bulk properties. (See Figure 2, right.) Finally, we plan to perform these investigations with a nano-focusing spectrometer constructed of off-the-shelf items.

For additional reasons why the study of Pu unoccupied electronic structure is important, please see the attached letter from Andy McMahan and John Moriarity (page 7).

Experimental Approach

The central technique is BIS or high energy Inverse Photoelectron Spectroscopy.

BIS is the high-energy variant of inverse photoelectron spectroscopy (IPES: electron in, photon out), which is essentially the time reversal of photoelectron spectroscopy (photon in, electron out), as illustrated in Figure 3. IPES can be used to follow the dispersion of electronic states in ordered samples: an example of this is shown in Figure 4. Owing to its low energies ($hv \approx 10 \text{ eV}$), IPES is usually very band and surface sensitive.

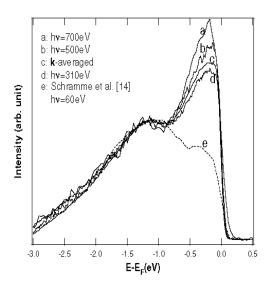


FIG. 2. PES spectra taken with various $h\nu$, the largest of which yields the greatest bulk sensitivity.

Sensitivity to the bulk V_2O_3 quasiparticle peak increases with increasing energy Mo et al, PRL 90, 186403 (2003)]

However, by working at higher energies, we will sample preferentially for bulk Density of State (DOS) properties, downgrading the impact of surface effects, following a philosophy similar to that of Mo et al, PRL 90, 186403 (2003), as described above. Thus, from BIS, we would have a direct measure of the conduction band or unoccupied electronic structure of the bulk Pu.

Figure 4 (to the right): IPES of Ag/Ge(111), Knapp and Tobin, PRB 37, 8656 (1988). ○ = Ge, + = Ag/Ge, • = Aq

Using a Scanning Electron Microscope (SEM) as the electron beam source in the BIS process, we would be able to probe the single crystalline micro-crystallites that are available in polycrystalline samples. A major problem with Pu: there are no large single crystals. An alternate approach is to use microscopy to focus in on single crystalline regions within polycrystalline samples, performing spectroscopy on microscopically small single crystals. This approach was used to great success in our previous work with a Transmission Electron Microscope (TEM), as described in "The Failure of Russell-Saunders Coupling in the 5f States of Plutonium", by K.T. Moore, M.A. Wall, A.J. Schwartz, B.W. Chung, D.K. Shuh, R.K. Schulze, and J.G. Tobin, Phys. Rev. Lett. 90, 196404 (May 2003). Please note that there is no ambiguity here about the phase. The electron diffraction provides a direct and irrefutable indication of the crystallinity of the micro-structure.

> Figure 5 (to the right): Polycrystalline Pu Upper: TEM-Microscopy

Lower: Phase Specific Electron Diffraction

Moore et al, PRL 2003.

UNCLASSIFIED

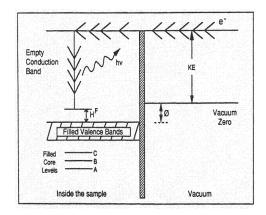
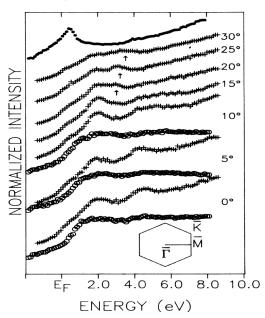
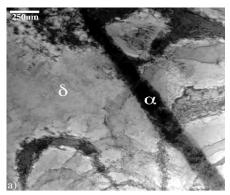
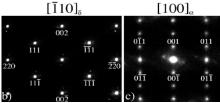


Figure 3 (above): IPES







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Technical Description:

We will perform in house experiments with off-the-shelf instrumentation. By setting up as an in house experiment in Bldg 235, we can take advantage of the Pu preparation and handing capabilities therein, i.e. Mark Wall and all of his expertise. We can buy a slightly used SEM to function as the focused electron source. The photon detection will be a commercially available soft x-ray spectrometer, from one of a series of companies that provide monochromators and detectors to synchrotron radiation users.





Figure 6:

SEM (above,left panel) and X-ray Emission Spectrometer (XES) (above,right panel)

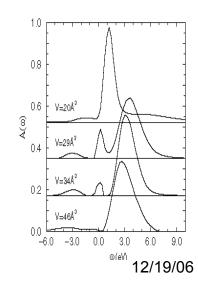
We will begin our studies using Rare Earths such as Ce and the less radioactive actinides such as depleted Uranium. Ce, the Rare Earth analogue of Pu, has a similar multi-phase electronic structure controversy. The first phase specific spectra of Ce have been recently reported by Moore et al [K.T. Moore, B.W. Chung, S.A. Morton, A.J. Schwartz, J.G. Tobin, S. Lazar, F.D. Tichelaar, H.W. Zandbergen, P. Söderlind, and G. van der Laan, "Changes in the electronic structure of cerium due to variations in close packing," Phys. Rev. B **69**, 193104 (2004)]: will we build upon that and use the Ce study to prepare us for the more difficult case of Pu.

Science Impact:

We will resolve 4f and 5f Electronic Structure issue by comparing directly our results to the predictions of Dynamical Mean Field Theory (DMFT) such as that of Pu by Savrosov et al [Nature 410, 793 (2001)] and Ce by Held, McMahan and Scarlettar [PRL 87, 276404 (2001)]

Figure 7 (to the right):

Ce data from Held, McMahan and Scarlettar: Test for the f^1 ($\omega = 0$ eV) and f^2 ($\omega = 3$ eV) final state features in the nBIS spectra.



Technology Impact & Exit

We will provide the following:

- (1) Validation of Pu Equation of State (EOS) Theories;
- (2)Benchmarking of modeling for science-based stockpile stewardship;
- (3) a strengthening of Pu Science within the Strategic Planning Theme Area (MPPXC:Actinides);
- (4) a contribution to the scientific infrastructure for programmatic work (after the LDRD ends, this instrumentation becomes part of part of the CMS Center for Actinide Material Science and Technology).

Why bother with BIS? **UNCLASSIFIED** Why not just do EELS in the TEM? Spectroscopic Signature of Aging in Pu There are two major reasons First-BIS can measure the **Density of States, but neither** EELS nor XAS can do that. Second-Sensitivity to aging. BIS will be like RESPES, with Resonant Photoemission shows a strong a sensitivity to the difference between young and aged Pu unoccupied electronic samples. RESPES is sensitive to unoccupied structure. So far, the only electronic structure, just like BIS. spectroscopy that Conventional ResPES (indirect channel) demonstrates a sensitivity to (direct channel) Direct excitation of 5f aging is RESPES! iii. Second 5f electron is ejected to conserve energy ejected to co E_F Occupied 5f States For more about RESPES of Pu, see i. 5d electron excited to empty state BW Chung et al, J.Phys.Soc.Japan 75, 5 (2006) and Tobin et al, PRB 68, 155109 (2003).

Figure 8

Proposal Summary

We will determine the conduction band (unoccupied) electronic structure of Pu and other actinides (and possibly rare earths as well), in a phase specific fashion and emphasizing bulk contributions. This is world-class science directed at issue that is central to LLNL and DOE: Pu structure property relationships

Physics & Advanced Technologies

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Addendum: Support outside of CMLS for the BIS approach.

Interdepartmental Letterhead

Mail Station: L-045

Ext: 2-7198 H DIVISION

Aug 6, 2003

TO: Jim Tobin

FROM: Andy McMahan, John Moriarty

SUBJECT: Brehmstrahlung Isochromatic Spectrometer

Equation of state in the implosion regime depends predominantly on the underlying electronic structure. While spectroscopic techniques do not directly give equation of state, they probe the electronic structure and can therefore constrain theoretical treatments which do yield equation of state, and provide a clearer understanding of the fundamental physics involved. In particular spectroscopic techniques can help sort out and constrain a variety of important factors including normal itinerant banding effects, Hubbard and spin-orbit splitting, and atomic-like term structure in the more localized regimes.

There has been much recent Photoemission Spectroscopy (PES) activity for plutonium which probes the occupied states, and issues of surface effects including both impurities and reconstruction are in the process of being resolved. Unfortunately there has been almost no work in recent years probing the unoccupied states with "inverse photoemission" or Brehmstrahlung Isochromatic Spectroscopy (BIS). This is unfortunate since the addition of the empty state spectra more than doubles the impact of the combined data, since its knowledge also constrains interpretation of the PES and vice versa. There is no doubt that precise and reliable combined PES/BIS data for the various phases of Pu will have both important scientific and programmatic impact. Among issues that such data will help resolve is the degree of localization or itineracy in the 5f electrons in a variety of Pu phases: pure α -Pu, the Ga-stabilized δ phase, the pure high-temperature δ phase, and the other high-temperature phases (β , γ , δ , and ε).

In summary, we believe the development of a BIS capability at the Laboratory is important, and should be supported.

II. Description Of Progress:

ACCOMPLISHMENT 1: Lab setup and SEM operational

An SEM was purchased and set up in Room 1210, B235. Room 1210 was modified to be compatible with the incoming nBIS experiment. Below, one can see a micrograph taken with the SEM of gold particles on graphite, in Room 1210, B235.



Figure 9

Martin Butterfield is shown seated at the operational SEM in Room 1210, B235

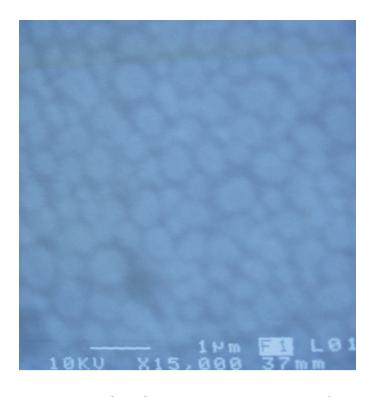


Figure 10

Micrograph of Au particles on graphite, taken on the operation SEM in Room 1210, B235.

ACCOMPLISHMENT 2: Integration of SEM and XES350

We had made substantial progress in designing our new UHV system for housing the nBIS experiment, combining both the spatial resolution of the SEM with the ultra high vacuum necessary for Pu experiments. An array of vacuum pumps and hardware had already been purchased.

This design work was done by Martin Butterfield (Post-doc) and James Gross (Summer Student). under the guidance of JGT.

Figure 11 (right)

Design of the new vacuum vessel for UHV work, optimized to match with the extant SEM and XES-350 instrumentation.

Top View of nBIS chamber

Flange 2
•4.5 inch OD ConFlat •6 inches from flange face to centerline

- •4.5 inch OD ConFlat
- 6 inches from flange face to centerline
 30 degrees off of x axis
- EDAX EBSD Detector and retractor mounted here
- ·miminum distance from flange face of EDAX to centerline is 8.2 inches

·window mounted here

- Flange 1
 •8 inch OD ConFlat
- •11.2 inches from flange face to centerline
- •30 degrees off of x axis •XES 350 mounted here

•6 inch OD ConFlat

- •6 inches from flange face to centerline
- ·sample manipulator mounted here

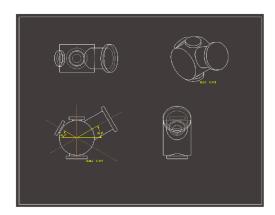
Side View of nBIS chamber

- Flange 5
 •10 inch OD ConFlat
 •4 inches from flange face to center-plane
- SEM source mounted here



- •4 inches from flange face to center-plane
- •Pumping manifold mounted here

Overall design



ACCOMPLISHMENT 3: XES350 On site and Operational

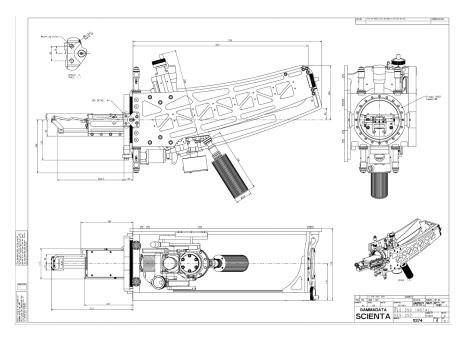


Figure 12 XES350 monochromatic photon detection system from Gamma Data Scienta

The Monochromatic Photon Detection Is Functional.

The photon detection was to be performed with a commercially available soft x-ray spectrometer, the XES350 that we had already purchased from Gammadata Scienta. The 3 gratings for the XES350 give us an energy window of 50-1500 eV, thereby allowing us to concentrate on bulk properties, but also compare and contrast with any surface related features. Results of our preliminary testing of the XES-350 are shown below. These spectra were collected on site in B235.

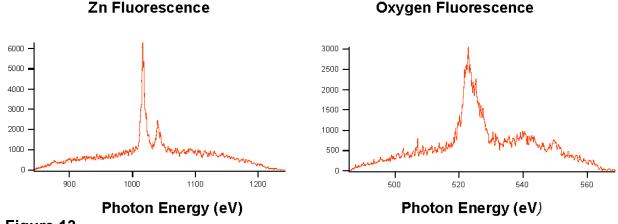


Figure 13 Electron stimulated fluorescence, using an electron excitation beam energy of 3000 eV.

(Left) Zn 2p doublet . (Right) O1s. Data collected on site in B235.

ACCOMPLISHMENT 4: Safety Plan Development and Approval

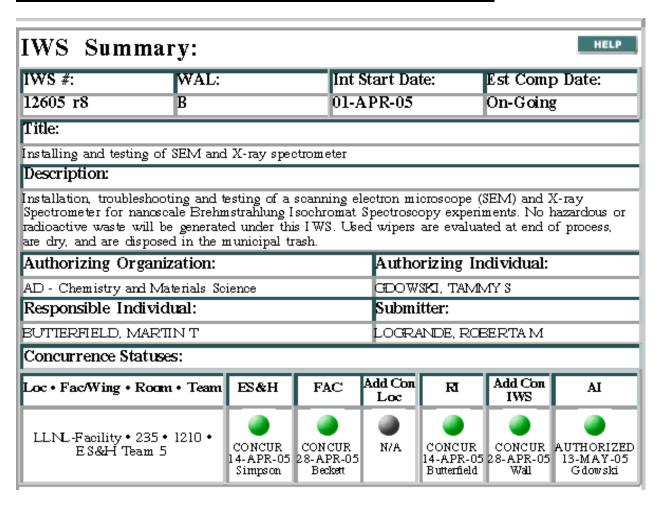


Figure 14 Approved IWS

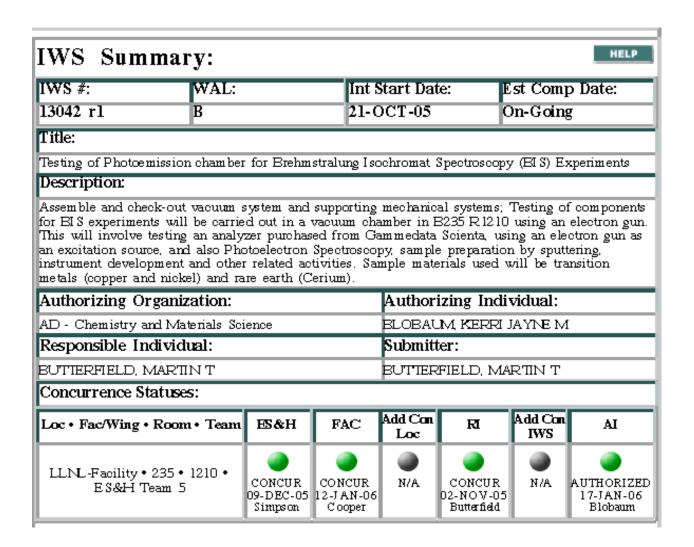


Figure 15 Approved IWS

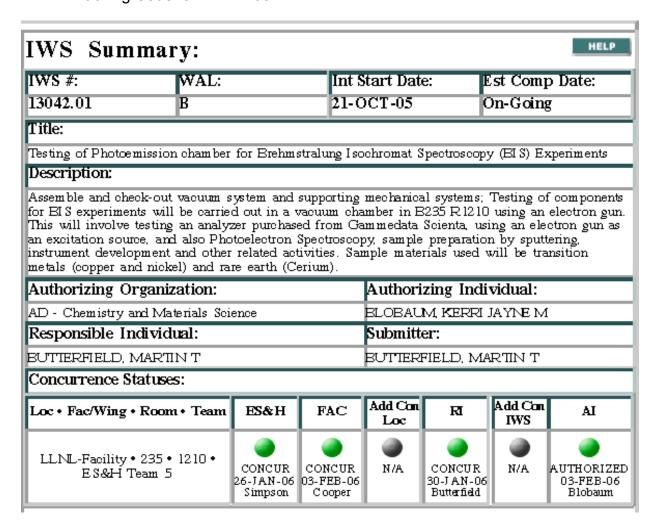


Figure 16 Approved IWS

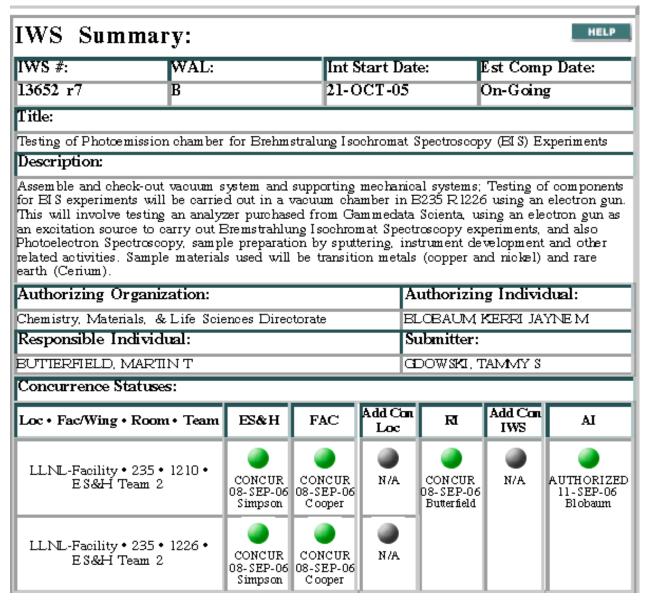


Figure 17 Approved IWS

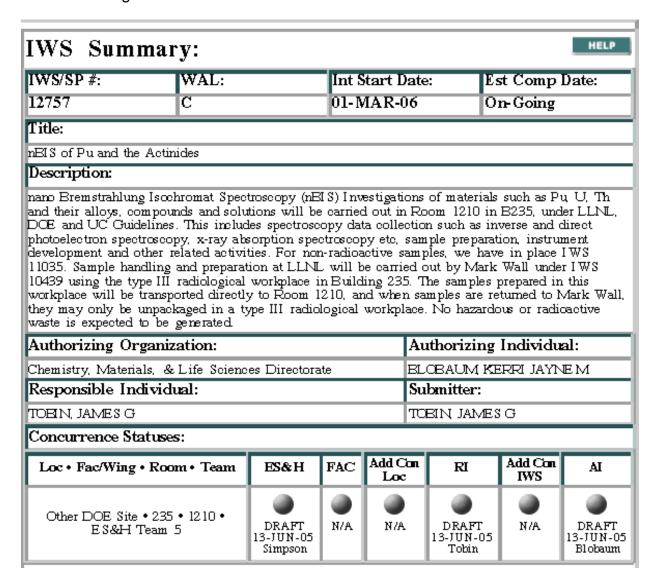


Figure 18 Draft IWS for Radioactive Samples

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IV. International recognition: Publications and talks

Publications in print: Conference Proceedings

- J.G. Tobin, M.T. Butterfield, N.E. Teslich Jr., R.A. Bliss, M.A. Wall, A.K. McMahan, B.W. Chung and A.J. Schwartz, "Using Nano-focussed Bremstrahlung Isochromat Spectroscopy (nBIS) to Determine the Unoccupied Electronic Structure of Pu," in "Recent Advances in Actinide Science," Royal Society of Chemistry, ed. R. Alvarez, N.D. Bryan and I. May, page 773 (2006).
- J.G. Tobin, K.T. Moore, B.W. Chung, M.A. Wall, A.J. Schwartz, B.B. Ebbinghaus, M.T. Butterfield, N.E. Teslich Jr., R.A. Bliss, S.A. Morton, S.W. Yu, T. Komesu, G.D. Waddill, G. van der Laan, and A.L. Kutepov, "Experimental Benchmarking of Pu Electronic Structure," Matl. Res. Soc. Symp. Proc. 893, 79 (2006).
- M.T. Butterfield, J.G. Tobin, N.E. Teslich Jr, R.A. Bliss, M.A. Wall, A.K. McMahan, B.W. Chung, A.J. Schwartz, and A.L. Kutepov, "Utilizing Nano-focussed Bremstrahlung Isochromat Spectroscopy (nBIS) to Determine the Unoccupied Electronic Structure of Pu," Matl. Res. Soc. Symp. Proc. 893, 95 (2006).

Presentations

International Invited talks

- JG Tobin, "Using Nano-focused Bremstrahlung Isochromat Spectroscopy (nBIS) to Determine the Unoccupied Electronic Structure of Pu," CEA-NNSA Workshop, Paris, France, June 2005, invited talk.
- J.G. Tobin, "Utilizing Nano-focused Bremstrahlung Isochromat Spectroscopy (nBIS) to Determine the Unoccupied Electronic Structure of Pu," 5th International Workshop: "Fundamental Plutonium Properties" VNIITF, Snezhinsk, Chelyabinsk Region, Russia, September 12 -16, 2005, invited talk.

International Contributed Presentations

- JG Tobin et al, "Using Nano-focused Bremstrahlung Isochromat Spectroscopy (nBIS) to Determine the Unoccupied Electronic Structure of Pu," Journees des Actnides Conference, Baden/Wien, Austria, April 2005, contributed talk.
- JG Tobin et al, "Nano-focused Bremstrahlung Isochromat Spectroscopy (nBIS) Determination
 of the Unoccupied Electronic Structure of Pu," Actinides 2005 Meeting, Manchester, UK, July
 2005, contributed poster.
- M.T. Butterfield, J.G. Tobin, N.E. Teslich Jr, R.A. Bliss, M.A. Wall, A.K. McMahan, B.W. Chung, A.J. Schwartz and A.L.Kutepov, "Nano-focussed Bremstrahlung Isochromat Spectroscopy (nBIS) to Determine the Unoccupied Electronic Structure of Pu" Journees des Actnides Conference, Oxford, UK, April 2006 contributed poster.

Contributed Presentations

 MT Butterfield, JG Tobin, NE Teslich Jr, RA Bliss, MA Wall, A. McMahan, BW Chung, AJ Schwartz and A LKutepov, "Utilizing Nano-focussed Bremstrahlung Isochromat Spectroscopy (nBIS) to Determine the Unoccupied Electronic Structure of Pu" MRS Fall Meeting 2005, Actinides II Symposium, contributed talk.

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IV. Future Plans

It is important that we find some way to continue the nBIS or BIS experiment.

BIS and the unoccupied states may be the key to differentiating between the many different theories of Pu electronic structure. (Figure 19)

BIS may provide the last piece of the puzzle in our ongoing effort to resolve the Pu electronic structure controversy. We have had some success in the past, as illustrated in Figure 20.

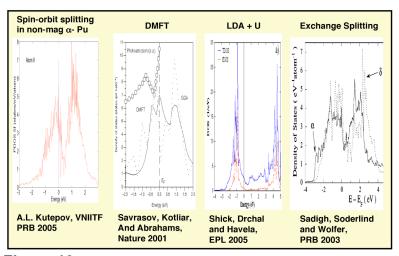


Figure 19

A comparison of the predictions of the unoccupied electronic structure of Pu, from a number of different theoretical approaches is shown here. Many of the theoretical predictions are significantly different.

<u>V.</u>

Acknowledgements

This work was performed under the auspices of the U. S. Department of Energy (DOE) by the University of California, Lawrence Livermore National Laboratory (LLNL) under Contract No. W-7405-Eng-48. The project, 04-ERD-105, was funded by the Laboratory Directed Research and Development Program at LLNL.

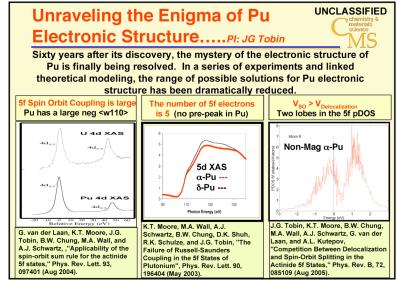


Figure 20